

# Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2015

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,236,462	206,084	3,984	110	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	116,048	472,513	92	132	5.a.
b. Employee benefit - defined benefit.....	158,373	77,062	20	5	5.b.
c. Other employee benefit and retirement-related accounts.....	536,232	255,798	993	192	5.c.
6. Corporate trust and agency accounts.	32,032		2	1	6.
7. Investment management and investment advisory agency accounts.....	3,294,286	10,565	1,735	7	7.
8. Foundation and endowment trust and agency accounts	353,717	130,016	172	34	8.
9. Other fiduciary accounts .....	28,138	12,561	14	4	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	11,755,288	1,164,599	7,012	485	10.
11. Custody and safekeeping accounts.....		13,719,636		1,147	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	444,250	53,643	967	125	13.

**Schedule T - Fiduciary and Related Services - Continued**

Memoranda

1. Managed assets held in fiduciary accounts:

a. Noninterest-bearing deposits.....

b. Interest-bearing deposits.....

c. U.S. Treasury and U.S. Government agency obligations.....

d. State, county and municipal obligations.....

e. Money market mutual funds.....

f. Equity mutual funds.....

g. Other mutual funds.....

h. Common trust funds and collective investment funds.....

i. Other short-term obligations.....

j. Other notes and bonds.....

k. Investments in unregistered funds and private equity investments.....

l. Other common and preferred stocks.....

m. Real estate mortgages.....

n. Real estate.....

o. Miscellaneous assets.....

p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

(Column A)	(Column B)	(Column C)	
Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
(000s)	(000s)	(000s)	
1,232	2	730	M.1.a.
208,009	7,458	4,135	M.1.b.
284,453	27,814	15,946	M.1.c.
1,399,040	7,351	5,294	M.1.d.
531,478	27,420	46,177	M.1.e.
1,329,573	245,765	88,101	M.1.f.
1,074,792	177,748	60,717	
81,192			
465,997	58,943	42,257	M.1.g.
852,977	732	2,431	
2,471,374	245,178	97,005	M.1.h.
40,763	8,701	5,202	M.1.i.
893,338	837	42,811	M.1.j.
894,885	2,709	3,083	M.1.k.
10,529,103	810,658	413,889	
	(Column A) Managed Assets	(Column B) Number of Managed Assets	

Memoranda

2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....		M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....		M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1	M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....		M.3.a
b. International/Global equity.....		M.3.b.
c. Stock/Bond blend.....		M.3.c.
d. Taxable bond.....		M.3.d.
e. Municipal bond.....		M.3.e.
f. Short term investments/Money market.....		M.3.f.
g. Specialty/Other.....		M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....		M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	357,449	25,244,426	P.1.
P.2. Less: real estate.....	45,866		P.2.
P.3. Trust business on which security is required.....	311,582	25,244,426	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,150	1,500	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	10,016	5,331	P.5.
P.6. Excess or deficiency; denote negative amount in ( )......	5,866	3,831	P.6.

FIDUCIARY AND RELATED SERVICES INCOME

	(000s)	
14. Personal trust and agency accounts.....	(000s)	14.
15. Employee benefit and retirement-related trust and agency accounts:	15,375	
a. Employee benefit-defined contribution .....		15.a.
b. Employee benefit-defined benefit.....	550	15.b.
c. Other employee benefit and retirement-related accounts.....	193	15.c.
16. Corporate trust and agency accounts .....	1,724	16.
17. Investment management and investment advisory agency accounts .....	22	17.
18. Foundation and endowment trust and agency accounts.....	7,911	18.
19. Other fiduciary accounts .....	744	19.
20. Custody and safekeeping accounts .....	50	20.
21. Other fiduciary and related services income.....	2,269	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	54	22.
23. Less: Expenses.....	28,892	23.
24. Less: Net losses from fiduciary and related services.....	28,178	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	102	25.
26. Net fiduciary and related services income .....	4,124	26.
	4,736	

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	31	51		M.4.a.
b. Employee benefit and retirement-related trust and agency accounts .....				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	5			M.4.c.
d. Other fiduciary accounts and related services.....	4	27	15	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal				